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PATENT  
2450001-2000.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Gary KATZ and Greg MAYNARD  
Serial No. : 10/771,993  
For : AUTOMATED EXCHANGE FOR TRADING OF  
COMPLEX ORDERS  
Filed : February 3, 2004  
Examiner : TBA  
Art Unit : 3622

745 Fifth Avenue  
New York, NY 10151

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope  
addressed to: Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450, on October 12, 2004.

Stephen J. Lieb (Reg. No. 41,943)

Name of Applicant, Assignee or Registered Representative

Signature

October 12, 2004

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§1.56, 1.97 and 1.98, Applicants hereby make of record the  
document cited in the enclosed copy of form PTO 1449. A copy of the document cited is  
enclosed.

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Applicants state that no item of information contained in this information disclosure statement ("IDS") was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in §1.56(c) more than three months prior to the filing of the IDS.

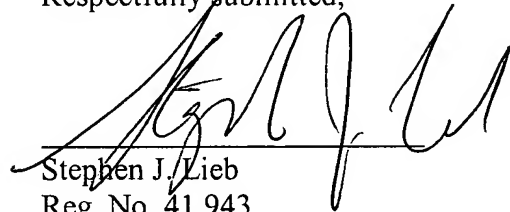
Applicants believe no additional fee is required. Should any additional fee be required for this IDS, Applicants authorize the Assistant Commissioner to charge such fee, or credit any overpayment, to Deposit Account 50-0320.

Enclosed is a duplicate of form PTO-1449. Applicants request that the Examiner initial and date the form and return one copy to Applicants.

Early consideration of this Information Disclosure Statement is earnestly solicited.

Respectfully submitted,

By:



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Based on Form PTO-1449  
(3/90)LIST OF REFERENCES CITED BY APPLICANT  
(Use several sheets if necessary)

ATTY. DOCKET NO.

245001-2000.2

SERIAL NO.

10/771,993

APPLICANT

Gary KATZ and Greg MAYNARD

FILING DATE

2/03/04

GROUP

3622

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	U.S. 5,126,936	6/30/92	Champion et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO		
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	AU		
	AV		

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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